

Award Number:  
W81XWH-10-1-0299

TITLE:  
Uncovering the Hidden Molecular Signatures of Breast Cancer

PRINCIPAL INVESTIGATOR:  
Robert Lesurf

CONTRACTING ORGANIZATION:  
Royal Institution for the Advancement of Learning  
Montreal, H3A 2T5  
Canada

REPORT DATE:  
May 2012

TYPE OF REPORT:  
Annual Summary

PREPARED FOR: U.S. Army Medical Research and Materiel Command  
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;  
Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

REPORT DOCUMENTATION PAGE				Form Approved OMB No. 0704-0188	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. <b>PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.</b>					
1. REPORT DATE 01-05-2012		2. REPORT TYPE Annual Summary		3. DATES COVERED 1 May 2011 – 30 April 2012	
4. TITLE AND SUBTITLE Uncovering the Hidden Molecular Signatures of Breast Cancer				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER W81XWH-10-1-0299	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S) Robert Lesurf Betty Diamond  E-Mail: robert.lesurf@gmail.com				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Royal Institution for the Advancement of Learning AND ADDRESS(ES) Montreal, H3A 2T5 Canada				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION / AVAILABILITY STATEMENT Approved for Public Release; Distribution Unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT Breast cancer is a heterogeneous disease, consisting of at least five transcriptional subtypes described by distinct, but poorly understood, molecular profiles. As the cause, aggressiveness, and outcome vary greatly between patients, it is essential to characterize the different ways in which the disease can grow and spread. Transcriptional subtyping operates by capturing the 'loudest' molecular events within a tumor. While these events are both biologically and clinically important, they only represent a fraction of the total cellular pathways and responses. Subtle information is overshadowed by these responses. Such information lies orthogonally to the subtypes, and may be of equal or greater clinical importance. We are constructing a framework to address this challenge. Our methodology is different from what has been done in the past, because it is able to break down tumors using individual signatures. The analysis is done on a large-scale, and does not require tumors to be binned into distinct classes. In a similar way, murine and cell-line models are being analyzed, allowing us to determine which models best reflect the human disease, and in what way. This is in turn allowing us to understand how different tumor processes work together, and to refine our models to better reflect the human disease. We aim to produce an open and accessible framework that will be used to quickly and thoroughly understand the processes that are at play in new tumour cases. This framework will have immediate research applications through the generation of better models for breast cancer. Ultimately, we intend for it to provide patients with more accurate, appropriate, and personalized treatments.					
15. SUBJECT TERMS Breast Cancer					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON
a. REPORT	b. ABSTRACT	c. THIS PAGE			19b. TELEPHONE NUMBER (include area code)
U	U	U	UU	25	USAMRMC

## Table of Contents

	<u>Page</u>
Introduction.....	4
Body.....	5
Key Research Accomplishments.....	13
Reportable Outcomes.....	14
Conclusion.....	16
References.....	17
Appendices.....	19
Supporting Data.....	20

## ***Introduction***

Breast cancer is a heterogeneous disease, consisting of at least five intrinsic subtypes including the luminal A and luminal B (estrogen receptor alpha positive; ESR+), Her2+ (v-erb-b2 erythroblastic leukemia viral oncogene homolog 2 positive), basal (ESR-, Her2-), and normal-like patient groups<sup>1-3</sup>. These subtypes exhibit distinct differences in their molecular signaling cascades, stress responses, and in the types of cells present within the tumor. For example, the luminal subtypes of breast cancer display a strong estrogen-signaling component, while the Her2+ subtype reflects the downstream response of receptor tyrosine kinase activation. Although such subtype-related pathways and responses are known to be biologically and clinically relevant, they represent only a fraction of total cellular pathways and responses. A more complete understanding is needed to fully determine the reasons for treatment failure and disease recurrence. To date, however, we lack a comprehensive analysis of those processes within the tumor that are associated with outcome (or other histopathological/clinical variables), and whether they are dependent or independent of the tumor subtype.

Our central hypotheses are that each subtype can be defined as a collection of molecular processes, that there exist processes that can be used to predict patient outcome regardless of subtype, and that there exist a disjoint set of processes that predict prognosis within each subtype. Moreover, we argue that the identity of these processes can be inferred through the combined use of our de novo bioinformatics tool entitled Breast Signature Analysis Tool (BreSAT) and our catalogue of transcriptional signatures (entitled BreSAT-DB) that have been collected from literature and resources such as GeneSigDB<sup>4</sup> and MSigDB<sup>5</sup>, but carefully modified and augmented to reflect the specific biologies of the breast environment. We have applied BreSAT and its associated catalogue BreSAT-DB to thousands of breast tumor samples and models of the disease. This has allowed us to identify novel pathways, processes, responses, and cell types that are of interest to disease progression and outcome, in addition to the identification of highly correlated processes that share little or no biological commonalities. These processes of interest were largely recapitulated in the models investigated thus far, although we identify various elements with relevance to the human disease that are currently lacking in the models. These discoveries are leading to a more comprehensive and complete view of breast cancer and the generation of more accurate disease models.



## **Body**

*Task 1. Complete course requirements (year 1):*

*1a. BIOC 603: Genomics and Gene Expression (year 1).*

All required PhD coursework was successfully completed in year 1. Other program requirements to date, including research seminars 1 & 2 (junior seminar and PhD proposal respectively) were also successfully completed.

*Task 2. Development of breast cancer-specific signatures (year 1):*

*2a. Acquire signatures from literature and databases (year 1).*

*2b. Filter collection based on relevancy (year 1).*

*2c. Agglomerate signatures representing high biological similarity (year 1).*

*2d. Refine genes according to behavior in breast-related datasets (year 1).*

*Milestone #1 Publication (year 1).*

A major component of our framework involves the collection and formatting of molecular signatures, along with the development of an appropriate ontological annotation. We have termed this highly curated signature database Breast Signature Analysis Tool Database (BreSAT-DB). Signatures are typically a set of genes that have been determined to be differentially perturbed in response to either a specific molecular event (e.g. overexpression of ESR), or are markers of a specific cell type (e.g. macrophages versus pericytes versus endothelial cells). Signature databases such as GeneSigDB<sup>4</sup> and MSigDB<sup>5</sup> exist, and contain thousands of such signatures. However, these signatures have been generated in a variety of organisms, tissues, cell types, and with different techniques. Thus, many of these signatures may not accurately recapitulate the target biology in human clinical breast samples. Furthermore, in some cases, multiple signatures exist for what are meant to be the same biological processes. This creates challenges downstream in the analysis, as separate signatures that represent the same general process or cell type may contain a dissimilar set of genes, which exhibit different expression patterns in human breast cancer data, and ultimately lead to contradictory conclusions. For these reasons, we have refined and annotated thousands of available signatures with features such as the species and tissue they were generated in, as well as their general category (e.g. whether they are used to define a particular cell type, biological response, or a broad prognostic response). Within each of these categories, the signatures are further sub-classified as appropriate (e.g. signatures that define biological responses are sub-classified into one of ten hallmarks of cancer<sup>6</sup>). Our categorizations are intended to allow for the first broad attempt at comprehensively dissecting breast tumors into a set of individual cellular and mechanistic components, and may be further refined and expanded by the community over time. BreSAT-DB now contains approximately 6400 signatures, which have been formatted for direct computational analysis and individually curated according to features of interest with respect to breast cancer.

In addition, we have generated a data compendium now containing over 9000 human patient samples related to breast cancer, along with their associated histopathological/clinical data. Our compendium has been stratified by stages of disease progression (e.g. normal tissue, DCIS, IDC, metastases, etc.), type of sample (e.g. whole tumor versus cell-specific tissue derived by laser capture microdissection), adjuvant and neoadjuvant treatments, and type of data (e.g. gene expression microarrays, aCGH, miRNA, etc.). While our focus has been on human data, we also have a sizable compendium of models for the disease, including murine tumors and human cell lines. The collection involves a rigorous process of normalization and harmonization. Clinical parameters must be carefully matched to determine, for example, whether recurrence is measured as a local or distant event that takes place in a common 5- or 10-year time frame. This ensures that clinical information is directly comparable from one dataset to the next, and allows us to develop automated tools for analyzing the data.

The collection and annotation of our database and compendium has been relatively straightforward, albeit a time consuming process. Year 2 oversaw minor updates to the size of the database (approximately 400 new signatures added), and further refinement of all signature annotations. In addition, our data compendium has expanded to include thousands of additional samples, and we are now in the process of collecting data from other platforms, including next generation sequencing. Unfortunately, recent publications involving signature collection and analysis by other groups<sup>7-9</sup> required that we re-evaluate, re-write, and expand aspects of our manuscript in order to differentiate ourselves and highlight the unique advantages BreSAT-DB provides for breast cancer research. This includes detailed demonstration that signatures developed in the breast are more informative than equivalent signatures developed in other tissue types, when applied to breast cancer datasets. Furthermore, breast-derived signatures contain genes that tend to be more highly correlated with one-another, suggesting that BreSAT-DB is more accurate and approximate than general-purpose signature databases for use in breast cancer research. An updated manuscript detailing the production, composition, and utility of our databases is now written and undergoing final modifications for submission.

*Task 3. Refinement of statistical methodology (year 1):*

*3a. Statistic for cohesiveness of subtypes (year 1).*

*3b. Statistic for association with survival/recurrence (year 1).*

*3c. Statistic for stability of sample ordering (year 1).*

Given a panel of gene expression profiles derived from breast tumor samples, we typically have some information regarding patient clinical attributes including tumor grade, stage, ESR status, Her2 status, lymph node status, and ultimately patient outcome with respect to disease recurrence and overall survival. The canonical example of a question that is asked of such datasets is to identify

molecular processes and/or cell types in the tumor that differ between patients of good and poor outcome. It is important to note that the assumption here is that tumors be broadly divided into these two groups before the analysis can be performed. Various bioinformatics tools like GSEA<sup>5,10</sup> exist for this type of analysis. However, the heterogeneity of breast cancer suggests that a simple a priori partition of the patients into classes such as good and bad outcome may not suffice. This is highlighted by the enormous differences that exist between subtypes, and the supposition that tumors of different subtypes recur for separate reasons. Indeed, previous attempts at identifying prognostic predictors of breast cancer outcome have largely been confounded by the subtypes, only having utility in a subset of patients<sup>11</sup>. Our observations suggest that the heterogeneity of breast cancers does not allow such a simple dichotomy, and it is nearly impossible to define 2 or more such classes a priori. Moreover, existing tools such as GSEA have a limitation in that they assume that a process is significantly differentially modulated between the bipartition of the patients. That is, these tools look for sets of genes with high expression in one category but low expression in the other. We argue that it is more natural for samples to display a range of activation levels for a given signature. This is a biological reality that is accepted within the community, but often ignored by bioinformatics methodologies. For example, it is common for Her2 to be genomically amplified one or more times in breast tumor cells, and its gene expression and membrane protein levels increase continuously in accordance. This increase has been directly linked to a corresponding change in signaling downstream of the receptor<sup>12</sup>. Staining of Her2 by immunohistochemistry (IHC) reveals a continuous range of intensities, which are scored from 0-3+ for simplicity, and often further reduced to simply Her2- or Her2+. While tumors are often summarized by a simple discretization, it is more natural for human breast tumors to display a range in signal activation levels or in the amount of various cell types present; bioinformatics methodologies should reflect this reality.

To overcome this problem, we have designed an intuitive approach that linearly orders tumors over individual signatures (Figure 1), thus measuring the strength of the particular response or cell type within the transcriptional profile of a tumor. Furthermore, in contrast to other traditional methodologies, our approach does not require *a priori* that tumors be binned into distinct classes. As such, the tool allows us to investigate continuous trends across the data, assessing the relative activation of signatures across a panel of patients. Using statistical approaches we have additionally developed, such orderings can be measured for robustness and other assessments of quality.

Since thousands of signatures are being employed, and each one generates a unique patient ordering, we have further developed statistical tests to identify those signatures from this large set that display ‘interesting’ behavior. The definition of ‘interesting’ is largely dependent on the particular question being asked of the patient dataset. For example, given a transcriptional signature of ESR activation (that is, the gene set corresponding to transcripts that are differentially expressed when ESR is over-expressed), patients are ordered according to their increasing

relative expression of the signature. We may then ask whether the patient order is consistent with other assays for assessing the degree of ESR activity, including for instance IHC staining of the ESR protein (Figure 1). Alternatively, a signature may order patients in such a way that associations can be made with a variety of other histopathological/clinical parameters, such as tumor subtype or patient outcome. The development of statistics to identify such associations is not trivial. For example, in determining an association with patient outcome, the tumor ranks could be treated as a continuous variable under Cox regression, essentially asking whether an increase in patient rank linearly corresponds to a change in patient outcome. Alternatively, the patients on either end of the ordering may share good prognosis, with the patients in the center of the ordering having poor outcome. Both scenarios present relevant information about how a process or cell type relates to patient prognosis, but they require different means of analysis. There are benefits and drawbacks to the various approaches, and ultimately, any biological conclusions depend on such choices.

We have successfully developed a variety of statistics that are able to determine associations between the patient ordering and discrete clinical variables (such as ESR status or tumor subtype), continuous variables (such as age), as well as patient outcome. In addition, we have developed statistics that measure the stability of a patient ordering generated by a particular signature, when compared against the stability generated by a random set of genes. This allows us to filter out those signatures that are less trustworthy in the data.

The type of statistic described thus far treats each signature independently. However, a natural question arises as to whether dependencies exist between the patient orderings generated by each signature. There may be technical reasons for dependencies between signatures (e.g. they have many genes in common), or there may be some underlying biological reason. For such a set of signatures that order patients in a similar way, we wish to investigate whether they also tend to share associations with histological/clinical parameters and/or functional ontologies. To investigate this, we begin by calculating the correlation between every pair of patient orderings, and use this information to build a graph network with edges placed between nodes (signatures) that have a high correlation (figure 2). Highly interconnected regions of the graph are investigated for overrepresentations in associations with available histological/clinical parameters. This is not simply a technical investigation, but one with biological and clinical worth. The fact that processes are correlated tells us about how tumor cells respond to stress, and hints at the molecular level regulatory interactions that take place in tumor progression. This in turn suggests better stratification of patients for the development and success of new treatment targets. Thus, such a signature-network approach identifies functionally-related signatures, even when the signatures represent different biological processes that share little or no genes in common.

*Task 4. Application of framework to datasets (year 1-3):*

*4a. Apply signatures to human tumor datasets (year 1-2).  
Milestone #2 Publication (year 2).*

Our linear ordering procedure has been repeated for signatures within our catalogue BreSAT-DB, across a compendium of ~200 ductal carcinoma in situ and ~2000 invasive breast carcinomas, for which clinically annotated whole tumor gene expression data was available. Appropriate tests were used to identify statistically significant associations between the patient ordering generated by each signature, and histopathological/clinical variables including intrinsic subtype, ESR status, Her2 status, lymph node status, grade, recurrence, and overall survival. An interesting early finding was that the large majority of signatures have a significant association with certain clinical variables, such as ESR status and the tumor subtype. In fact, even random sets of genes tended to produce significant associations. This is a testament to the enormous transcriptional perturbations that occur downstream of specific molecular events, including activation of ESR. To compensate for this trend, the significance of an association with a given molecular signature is adjusted by resampling 10,000 random gene sets of the same size.

After adjustment, there remained a large number of signatures consistently having significant associations with the variables tested, and there was a surprising overlap in the signatures that associate with any given variable. (figure 2,3). Thus far, we have identified 239 signatures that consistently had a significant association with molecular subtype in at least half of the datasets investigated (adjusted pvalue  $\leq 0.05$ ). Typically this association was the result of Luminal A and Basal tumors having vastly different patient ranks. In addition, 207 signatures were found to consistently have significant associations with ER status, 23 with lymph node status, 125 with disease recurrence, and 116 with overall survival (161 combined total for patient outcome). As expected, signatures designed to predict patient outcome in breast cancer patients were all highly significant in the majority of datasets. Remarkably, however, we have been able to identify signatures with consistent, significant associations to patient outcome, but having no such associations to any of the other variables tested. These are signatures that encompass a variety of processes, such a response to hypoxia, VEGF signaling, or activation of the complement immune system. Because such signatures operate independently of known histopathological/clinical parameters, they represent a unique class with prognostic value across all subtypes, which contrasts the types of predictors that are in clinical use<sup>11</sup>. This is an important milestone, because it identifies molecular markers that are determinants of outcome in breast cancer, but have remained unrecognized to date. The identification of such elements is essential for the development of new classes of treatments. Furthermore, our methodology represents a fundamentally different way of characterizing breast tumors. Whereas traditional approaches segment patients into classes according to the expression of a small number of genes, BreSAT comprehensively identifies the entire set of pathways, processes, responses, and cell types that define the disease. This exhaustive cataloging of the molecular differences between subtypes is providing a

more refined understanding, clinically and molecularly, of the underlying biology of the disease.

As there is some indication that breast tumors of each intrinsic subtype represent distinct biological entities, our analysis was further extended to observe how signatures associate with histopathological/clinical variables within each individual subtype. BreSAT was applied in isolation to patient sets belonging to each of the five intrinsic subtypes, and statistical associations were determined as before. Interestingly, these results revealed that each subtype tends to favor its own set of signatures (and by extension, processes) that associate with patient outcome. The luminal A subtype contained the largest number of signatures that were associated with patient outcome (recurrence and/or overall survival), most of which ordered patients in a manner that was independent of ER status, LN status, and grade. In contrast, tumors belonging to the luminal B subtype had only 7 signatures consistently associated with patient outcome in at least half of the datasets tested. Surprisingly, 5 of these 7 were signatures derived to specifically predict outcome in breast cancer patients. This suggests that patients with luminal B tumors are especially good candidates for therapeutic decision-making through genomic predictors. Tumors within the ERBB2 and Basal subtypes also had a small number of associations between signatures and patient outcome (8 and 2 respectively), possibly due to the smaller sample size of these subtypes. These associations related to processes such as TGF- $\beta$  and p21 in the ERBB2 subtype, and CK1 and mRNA processing in the Basal subtype. The disparities in the results are perhaps not surprising, as the patients with tumors belonging to different subtypes tend to receive different treatments for their disease. However, our results are particularly applicable as indicators of how and why current treatments fail in different subsets of breast cancer patients.

Such results support our hypotheses that breast tumors can be described by the activation/repression of various molecular signatures, which can act in parallel or orthogonally to a tumor's intrinsic subtype, and are a consequence of the complex mix of cell types within the tumor. To better understand the contribution of different cell types to breast tumor biology and disease outcome, we next applied BreSAT to a dataset containing microdissected epithelium and stroma tissue from matched breast tumors (figure 4). As before, statistical tests were used to identify associations between signatures and histopathological/clinical variables of interest. Because the process was performed in matching tumor epithelium and stroma, we were able to distinguish between signatures that are macroenvironmental (present in all compartments of the tumor) vs those that are microenvironmental (present either in epithelium or stroma, but not both). Furthermore, our results have revealed that some subsets of patients display remarkably similar signature activation/repression in matched tumor epithelium and stroma, whereas other patient subsets are enriched in microenvironment-specific responses.

We are additionally investigating the types of dependencies that exist between signatures. By quantifying the correlation between all possible pairs of signature-

derived patient orders, we identify functional associations between signatures, even when the signatures represent vastly different biological processes that share little or no genes in common. Our analysis indicates that although there is an overrepresentation of highly correlated signatures with a significant number of genes in common, there additionally exist many correlated signature pairs with no overlap. We identify many such distinct types of processes and cell types that appear to be highly correlated to one-another, and are currently examining ways of subdividing our collection of signatures into a core set of groups. The fact that many processes are co-modulated suggests methods for building more robust and accurate prognostic signatures, that encompass a broader range of clinically-relevant characteristics with highly resilient signals.

*Task 5. Hypothesis-driven generation of model systems (year 2-3):*

*5a. Selection of appropriate cell lines and mouse models (year 3).*

*5b. Molecular engineering of models (year 3).*

*5c. Analysis of modification success (year 3).*

*Milestone #3 Publication (year 3).*

Several hundred samples of various mouse models and cell lines of the disease have been collected and formatted into our compendium. Our linear ordering procedure has been repeated for all ~6400 signatures within our catalogue BreSAT-DB, thus identifying which models have repression or activation of processes of interest. Not surprisingly, the cell lines are largely reflective of primary breast tumors in terms of the patterns of signature activation. For example, ESR positive cell lines tend to display activation of various endocrine-related signatures, while ESR negative cell lines tend to display activation of signatures related to MAPK-induced proliferation. Nonetheless, cell lines differ from human tumors in the activation of various signatures. For example, ESR positive human tumors display activation of various signatures related to cellular adhesion and interaction with the cellular microenvironment, while ESR positive cell lines do not. This may be explained by differences in the physical environment of the two sample types. As changes in the breast microenvironment has been shown to have an effect on disease outcome, this points to a major component that is lacking with 2-dimensional serum-based cell line models.

Initial comparisons between human breast tumors and mouse models of the disease indicate similar trends; while individual models tend to share molecular components with particular human subtypes, the similarities are imperfect. For example, over all ~6400 gene sets in BreSAT-DB, the MMTV-Neu model has an activation pattern that is highly correlated with human luminal A tumors (Figure 5). Both MMTV-Neu murine tumors and human luminal A tumors present relatively high levels of signatures representing E2F3 silencing and cell cycle arrest. However, luminal A tumors consistently demonstrate high activation of signatures relating to ESR and other endocrine pathways; a property that is not shared by MMTV-Neu mouse tumors. This is not surprising, given that human luminal A tumors tend to be

ESR positive, while MMTV-Neu tumors are not. Furthermore, MMTV-Neu murine tumors display activation of various immune components that are not shared by human luminal A tumors (Figure 6). Together, this implies where the MMTV-Neu murine model could be used to test hypotheses and treatments within the human luminal A subtype, and equally of value, when it should not be used.

Work during year 3 of project will continue to comprehensively map out the similarities and differences between human breast tumors and models of the disease. This systematic understanding will lead to the modification and generation more accurate and appropriate breast cancer models.



### ***Key Research Accomplishments***

- Construction of a comprehensive and highly annotated signature database, specific to breast cancer (BreSAT-DB). This database currently holds ~6400 gene sets, a large proportion of which were developed in breast-related tissue.
- Collection and formatting of over 9000 data samples relating to breast cancer, primarily gene expression profiles of invasive ductal carcinoma (BreSAT-Compendium).
- The generation of various visual and statistical methodologies to apply signatures to the collected datasets, and to determine the significance of associations between pathways, processes, responses, or cell types, and available histopathological/clinical parameters.
- Application of our signatures to human datasets, testing for statistical associations and dependencies between signatures.
- Application of our signatures to murine and cell line models of breast cancer, using the developed statistical tests to determine which signatures are highly and consistently activated in individual models.

## ***Reportable Outcomes***

### *Poster*

Title: Breast Signature Analysis Tool (BreSAT): a framework for investigating the molecular networks of breast cancer

Conference: Era of Hope

Location: Orlando, Florida

Date: August 2011

### *Symposium Presentation*

Title: Breast Signature Analysis Tool (BreSAT): a framework for investigating the molecular networks of breast cancer

Conference: Era of Hope

Location: Orlando, Florida

Date: August 2011

### *Workshop Presentation*

Title: Breast Signature Analysis Tool (BreSAT): a framework for investigating the molecular networks of breast cancer

Conference: 10<sup>th</sup> Annual McGill Workshop on Bioinformatics in Barbados: Systems Approaches in Translational Breast Cancer Research

Location: Holetown, Barbados

Date: January 2011

### *Poster*

Title: Breast Signature Analysis Tool (BreSAT): a framework for investigating the molecular networks of breast cancer

Conference: RECOMB Computational Cancer Biology 2010

Location: Oslo, Norway

Date: June 2010

### *Collection and normalization of breast related data (BreSAT-Compendium)*

In total, our compendium now includes over 9000 human patient samples with associated histopathological/clinical data. Our compendium has been stratified by stages of disease progression (e.g. normal tissue, DCIS, IDC, metastases, etc.), type of sample (e.g. whole tumor versus cell-specific tissue derived by laser capture microdissection), adjuvant and neoadjuvant treatments, and type of data (e.g. gene expression microarrays, aCGH, miRNA, etc.). We are additionally in the process of collecting and formatting thousands of next generation sequencing profiles for use. The collection involves a rigorous process of normalization and harmonization. Clinical parameters must be carefully matched to determine, for example, whether recurrence is measured as a local or distant event that takes place in a common 5- or 10-year time frame. This ensures that clinical information is directly comparable from one dataset to the next, and allows us to develop automated tools for analyzing the data. While our focus has been on human data, we also have hundreds of high-

throughput samples representing models for the disease, including murine tumors and human cell lines.

*Construction of signature database (BreSAT-DB)*

Collection, refinement, and annotation of ~6400 available molecular signatures with features such as the species and tissue they were generated in, as well as their general category (e.g. whether they are used to define a particular cell type, biological response, or a broad prognostic response). Within each of these categories, the signatures are further sub-classified as appropriate (e.g. signatures that define biological responses are sub-classified into one of ten hallmarks of cancer<sup>6</sup>). While we have collected numerous available gene sets from public databases, we have additionally focused on obtaining signatures from the literature that were specifically generated in breast-related tissues. This ensures that our signature database, BreSAT-DB, comprehensively and accurately reflects those pathways, processes, responses, and cell types that are relevant to breast cancer.

*Generation of a programming package in R for data analysis (BreSAT)*

We have developed numerous computational methodologies to load breast-related high-throughput data, to filter and visualize signatures of interest in the data, and statistics to quantify the relevance of such applications. These functions have been coded in the R programming language with a flexible design that allows them to be used by other researchers with various data types. The code has been formatted as an R package to be released for free through bioconductor.

## ***Conclusion***

The framework we have described is a novel and important step towards better understanding the underlying pathways, processes, responses, and cell types that influence breast cancer progression and outcome. Our data compendiums represent the largest effort we are aware of to collect high-throughput breast-related data in an appropriately formatted and clinically annotated fashion. Similarly, our signature collection BreSAT-DB, contains the largest signature collection known to us, is thoroughly annotated, and crucially, is highly specific to breast cancer. Work will continue on the projects/manuscripts currently in preparation; we aim to release our framework to the community in the near future.

Our analysis with the BreSAT framework has allowed us to piece together the interplay between individual molecular signatures, and to better understand how this interplay affects the phenotype of breast cancer. Our methodology introduces a unique and intuitive semi-supervised approach to pathway analysis, and is robust when multiple disparate high-throughput datasets are used. Crucially, it represents an entirely different way of classifying the disease. Instead of relying on the 'loudest' molecular signals that make up the majority of a transcriptional profile, the status of subtle but important biological pathways are taken into account. BreSAT provides a means to comprehensively determine the classes of responses that characterize patient outcome, regardless of the confounding effect of the tumor subtype.

Our analysis of primary human tumors has identified numerous processes that influence disease progression and outcome. In a similar manner, we have applied our methodology to cell line and mouse models of the disease. This has allowed us to determine which models best reflect individual aspects and/or subgroups of the human disease, and in specifically what ways. Furthermore, we have identified important aspects of human breast cancer that are lacking or inconsistent in available models of the disease. Together, this work provides an essential step forward in understanding the molecular components that are involved in breast cancer.

## References

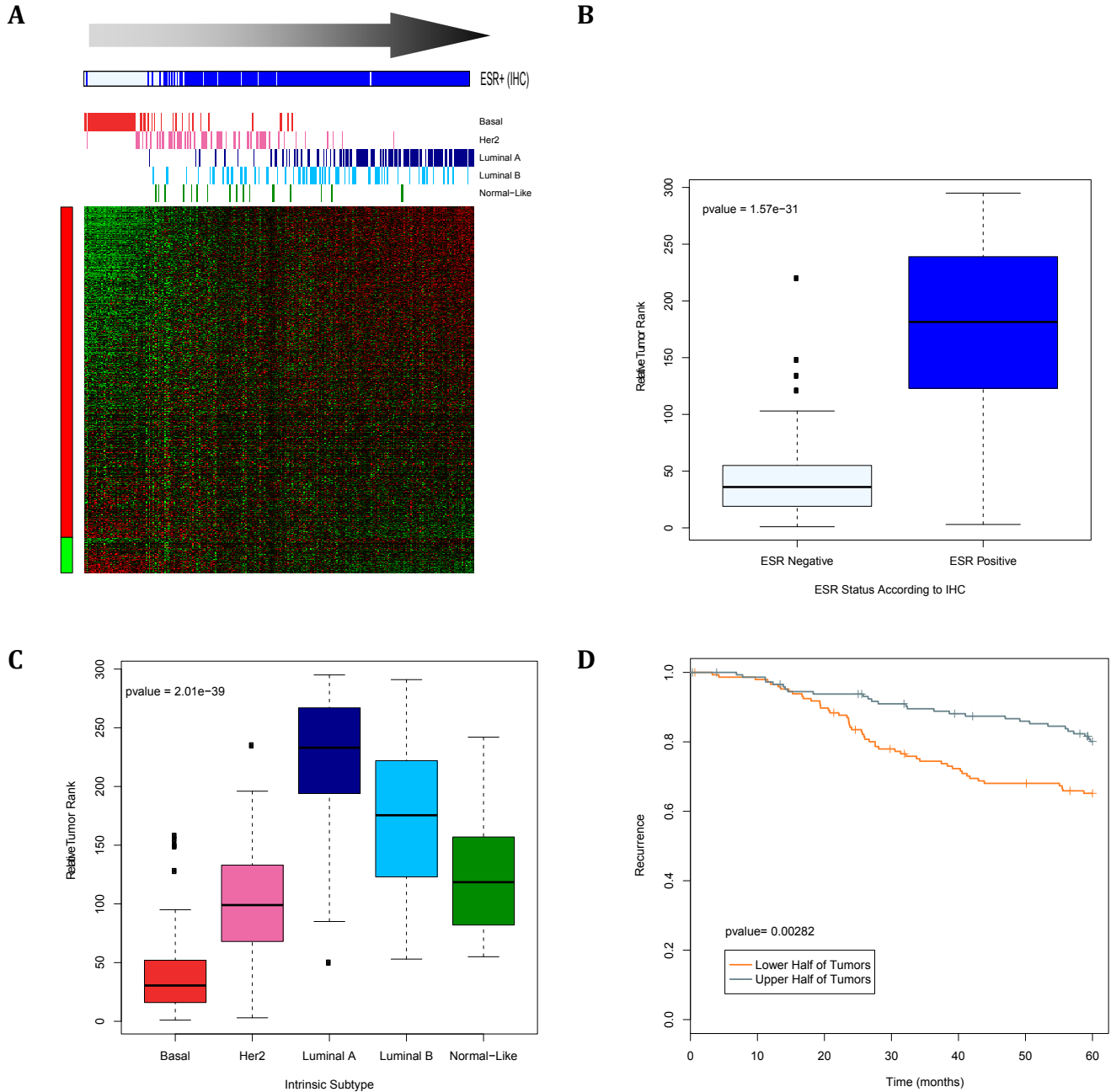
1. Perou CM, Sørlie T, Eisen MB, van de Rijn M, Jeffrey SS, Rees CA, Pollack JR, Ross DT, Johnsen H, Akslen LA, Fluge O, Pergamenschikov A, Williams C, Zhu SX, Lønning PE, Børresen-Dale AL, Brown PO, Botstein D. Molecular portraits of human breast tumours. *Nature*. 2000 Aug 17;406(6797):747-52.
2. Sørlie T, Perou CM, Tibshirani R, Aas T, Geisler S, Johnsen H, Hastie T, Eisen MB, van de Rijn M, Jeffrey SS, Thorsen T, Quist H, Matese JC, Brown PO, Botstein D, Eystein Lønning P, Børresen-Dale AL. Gene expression patterns of breast carcinomas distinguish tumor subclasses with clinical implications. *Proc Natl Acad Sci U S A*. 2001 Sep 11;98(19):10869-74.
3. Sørlie T, Tibshirani R, Parker J, Hastie T, Marron JS, Nobel A, Deng S, Johnsen H, Pesich R, Geisler S, Demeter J, Perou CM, Lønning PE, Brown PO, Børresen-Dale AL, Botstein D. Repeated observation of breast tumor subtypes in independent gene expression data sets. *Proc Natl Acad Sci U S A*. 2003 Jul 8;100(14):8418-23.
4. Culhane AC, Schwarzl T, Sultana R, Picard KC, Picard SC, Lu TH, Franklin KR, French SJ, Papenhausen G, Correll M, Quackenbush J. GeneSigDB--a curated database of gene expression signatures. *Nucleic Acids Res*. 2010 Jan;38(Database issue):D716-25.
5. Subramanian A, Tamayo P, Mootha VK, Mukherjee S, Ebert BL, Gillette MA, Paulovich A, Pomeroy SL, Golub TR, Lander ES, Mesirov JP. Gene set enrichment analysis: a knowledge-based approach for interpreting genome-wide expression profiles. *Proc Natl Acad Sci U S A*. 2005 Oct 25;102(43):15545-50.
6. Hanahan D, Weinberg RA. Hallmarks of cancer: the next generation. *Cell*. 2011 Mar 4;144(5):646-74.
7. Chang JT, Gatz ML, Lucas JE, Barry WT, Vaughn P, Nevins JR. SIGNATURE: a workbench for gene expression signature analysis. *BMC Bioinformatics*. 2011 Nov 14;12:443.
8. Kristensen VN, Vaske CJ, Ursini-Siegel J, Van Loo P, Nordgard SH, Sachidanandam R, Sørlie T, Wärnberg F, Haakensen VD, Helland Å, Naume B, Perou CM, Haussler D, Troyanskaya OG, Børresen-Dale AL. Integrated molecular profiles of invasive breast tumors and ductal carcinoma in situ (DCIS) reveal differential vascular and interleukin signaling. *Proc Natl Acad Sci U S A*. 2012 Feb 21;109(8):2802-7.
9. Vaske CJ, Benz SC, Sanborn JZ, Earl D, Szeto C, Zhu J, Haussler D, Stuart JM. Inference of patient-specific pathway activities from multi-dimensional cancer genomics data using PARADIGM. *Bioinformatics*. 2010 Jun 15;26(12):i237-45.
10. Mootha VK, Lindgren CM, Eriksson KF, Subramanian A, Sihag S, Lehar J, Puigserver P, Carlsson E, Ridderstråle M, Laurila E, Houstis N, Daly MJ, Patterson N, Mesirov JP, Golub TR, Tamayo P, Spiegelman B, Lander ES, Hirschhorn JN, Altshuler D, Groop LC. PGC-1alpha-responsive genes involved in oxidative phosphorylation are coordinately downregulated in human diabetes. *Nat Genet*. 2003 Jul;34(3):267-73.
11. Fan C, Oh DS, Wessels L, Weigelt B, Nuyten DS, Nobel AB, van't Veer LJ, Perou CM. Concordance among gene-expression-based predictors for breast cancer. *N Engl J Med*. 2006 Aug 10;355(6):560-9.

12. Hodgson JG, Malek T, Bornstein S, Hariono S, Ginzinger DG, Muller WJ, Gray JW. Copy number aberrations in mouse breast tumors reveal loci and genes important in tumorigenic receptor tyrosine kinase signaling. *Cancer Res.* 2005 Nov 1;65(21):9695-704.
13. van de Vijver MJ, He YD, van't Veer LJ, Dai H, Hart AA, Voskuil DW, Schreiber GJ, Peterse JL, Roberts C, Marton MJ, Parrish M, Atsma D, Witteveen A, Glas A, Delahaye L, van der Velde T, Bartelink H, Rodenhuis S, Rutgers ET, Friend SH, Bernards R. A gene-expression signature as a predictor of survival in breast cancer. *N Engl J Med.* 2002 Dec 19;347(25):1999-2009.
14. Abba MC, Hu Y, Sun H, Drake JA, Gaddis S, Baggerly K, Sahin A, Aldaz CM. Gene expression signature of estrogen receptor alpha status in breast cancer. *BMC Genomics.* 2005 Mar 11;6:37.
15. Finak G, Sadekova S, Pepin F, Hallett M, Meterissian S, Halwani F, Khetani K, Souleimanova M, Zabolotny B, Omeroglu A, Park M. Gene expression signatures of morphologically normal breast tissue identify basal-like tumors. *Breast Cancer Res.* 2006;8(5):R58.
16. Kanehisa M, Goto S. KEGG: kyoto encyclopedia of genes and genomes. *Nucleic Acids Res.* 2000 Jan 1;28(1):27-30.
17. Chin K, DeVries S, Fridlyand J, Spellman PT, Roydasgupta R, Kuo WL, Lapuk A, Neve RM, Qian Z, Ryder T, Chen F, Feiler H, Tokuyasu T, Kingsley C, Dairkee S, Meng Z, Chew K, Pinkel D, Jain A, Ljung BM, Esserman L, Albertson DG, Waldman FM, Gray JW. Genomic and transcriptional aberrations linked to breast cancer pathophysiologies. *Cancer Cell.* 2006 Dec;10(6):529-41.
18. Herschkowitz JI, Simin K, Weigman VJ, Mikaelian I, Usary J, Hu Z, Rasmussen KE, Jones LP, Assefnia S, Chandrasekharan S, Backlund MG, Yin Y, Khramtsov AI, Bastein R, Quackenbush J, Glazer RI, Brown PH, Green JE, Kopelovich L, Furth PA, Palazzo JP, Olopade OI, Bernard PS, Churchill GA, Van Dyke T, Perou CM. Identification of conserved gene expression features between murine mammary carcinoma models and human breast tumors. *Genome Biol.* 2007;8(5):R76.
19. Olsson AY, Feber A, Edwards S, Te Poele R, Giddings I, Merson S, Cooper CS. Role of E2F3 expression in modulating cellular proliferation rate in human bladder and prostate cancer cells. *Oncogene.* 2007 Feb 15;26(7):1028-37.
20. Harvell DM, Richer JK, Singh M, Spoelstra N, Finlayson C, Borges VF, Elias AD, Horwitz KB. Estrogen regulated gene expression in response to neoadjuvant endocrine therapy of breast cancers: tamoxifen agonist effects dominate in the presence of an aromatase inhibitor. *Breast Cancer Res Treat.* 2008 Dec;112(3):489-501.
21. Rody A, Holtrich U, Pusztai L, Liedtke C, Gaetje R, Ruckhaeberle E, Solbach C, Hanka L, Ahr A, Metzler D, Engels K, Karn T, Kaufmann M. T-cell metagene predicts a favorable prognosis in estrogen receptor-negative and HER2-positive breast cancers. *Breast Cancer Res.* 2009;11(2):R15.

## ***Appendices***

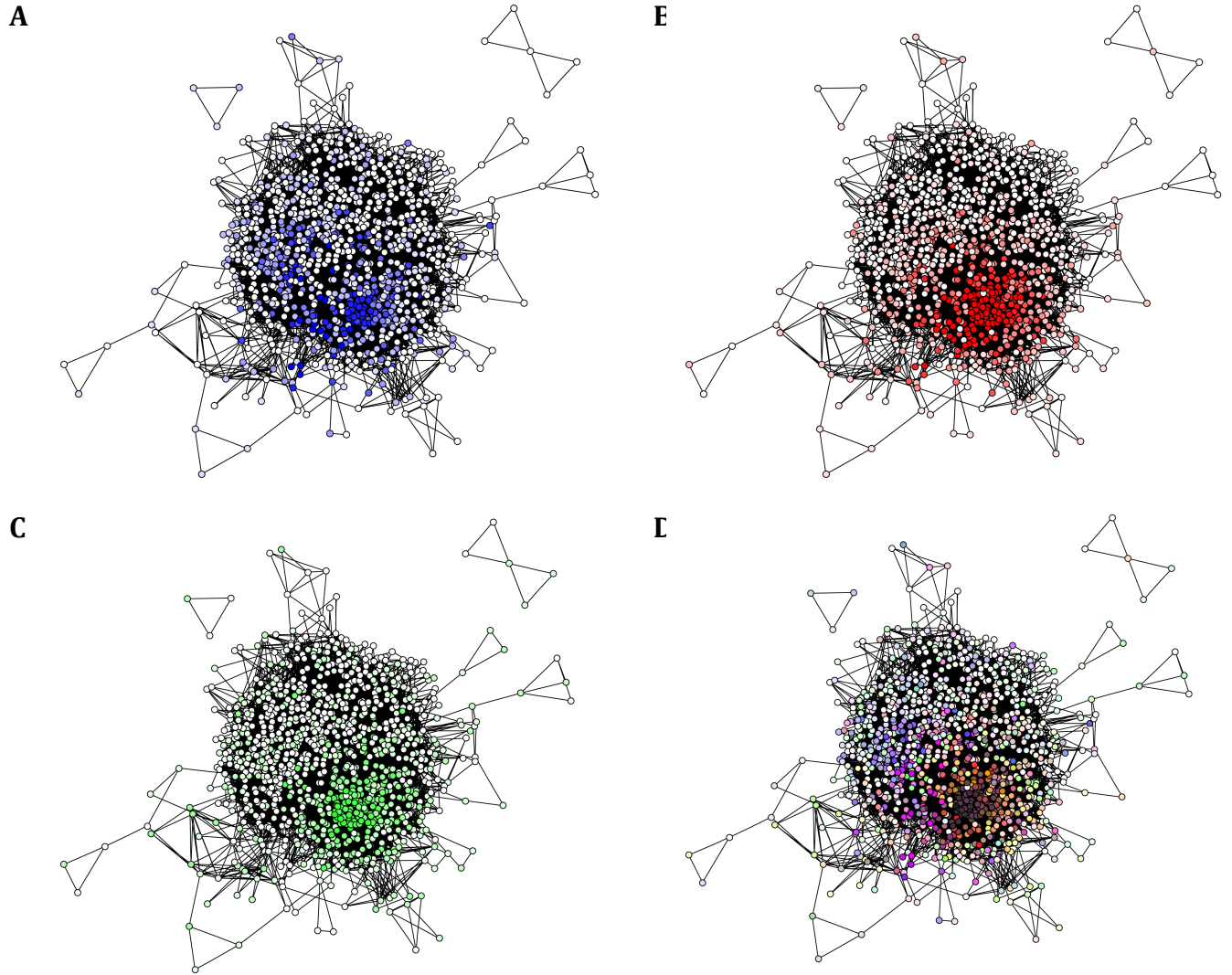
Not Applicable.

## Supporting Data

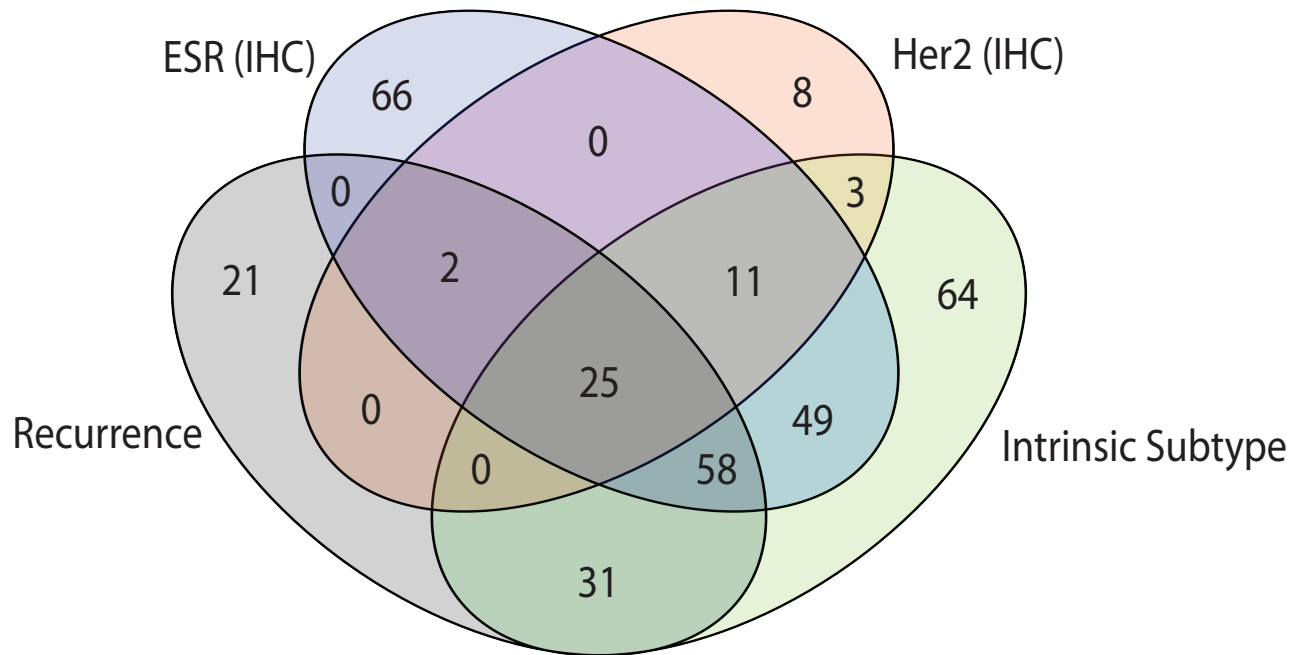


**Figure 1. ESR activation signature in a breast cancer dataset.** (A) Heatmap of ESR activation signature, with rows representing genes, and columns representing tumors. Gene expression is colored from green (low) to red (high). Samples are ordered from left (least ESR signaling activation) to right (most ESR signaling activation) using BreSAT. Arrow indicates increasing signature activation in the tumors. Patients are labeled according to their ESR IHC status (blue=positive), and their intrinsic subtype. (B) Patients ranks of the ESR- and ESR+ classes are displayed as boxplots, and are significantly different ( $p\text{-value}=1.6 \times 10^{-31}$ ). (C) Patient ranks of the intrinsic subtypes are displayed as boxplots, and are significantly different ( $p\text{-value}=2.0 \times 10^{-39}$ ). (D) Tumors were broadly divided in half according to their ranks, and Kaplan-Meier curve shows tumor recurrence of the two groups. The tumors with less ESR signaling activation have significantly worse outcome ( $p\text{-value}=2.8 \times 10^{-3}$ ). Expression data was obtained from [13]; ESR activation signature was obtained from [14].

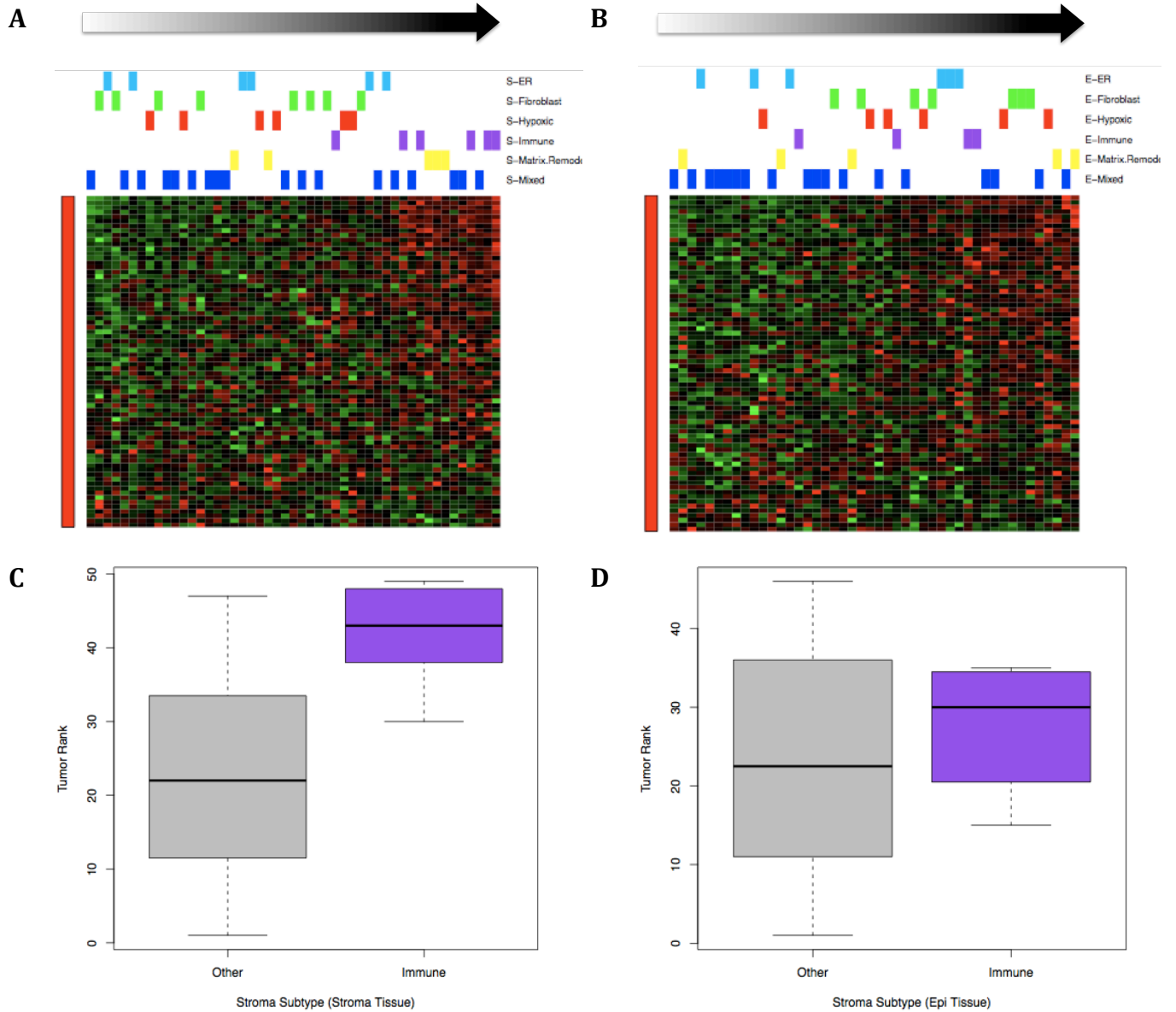




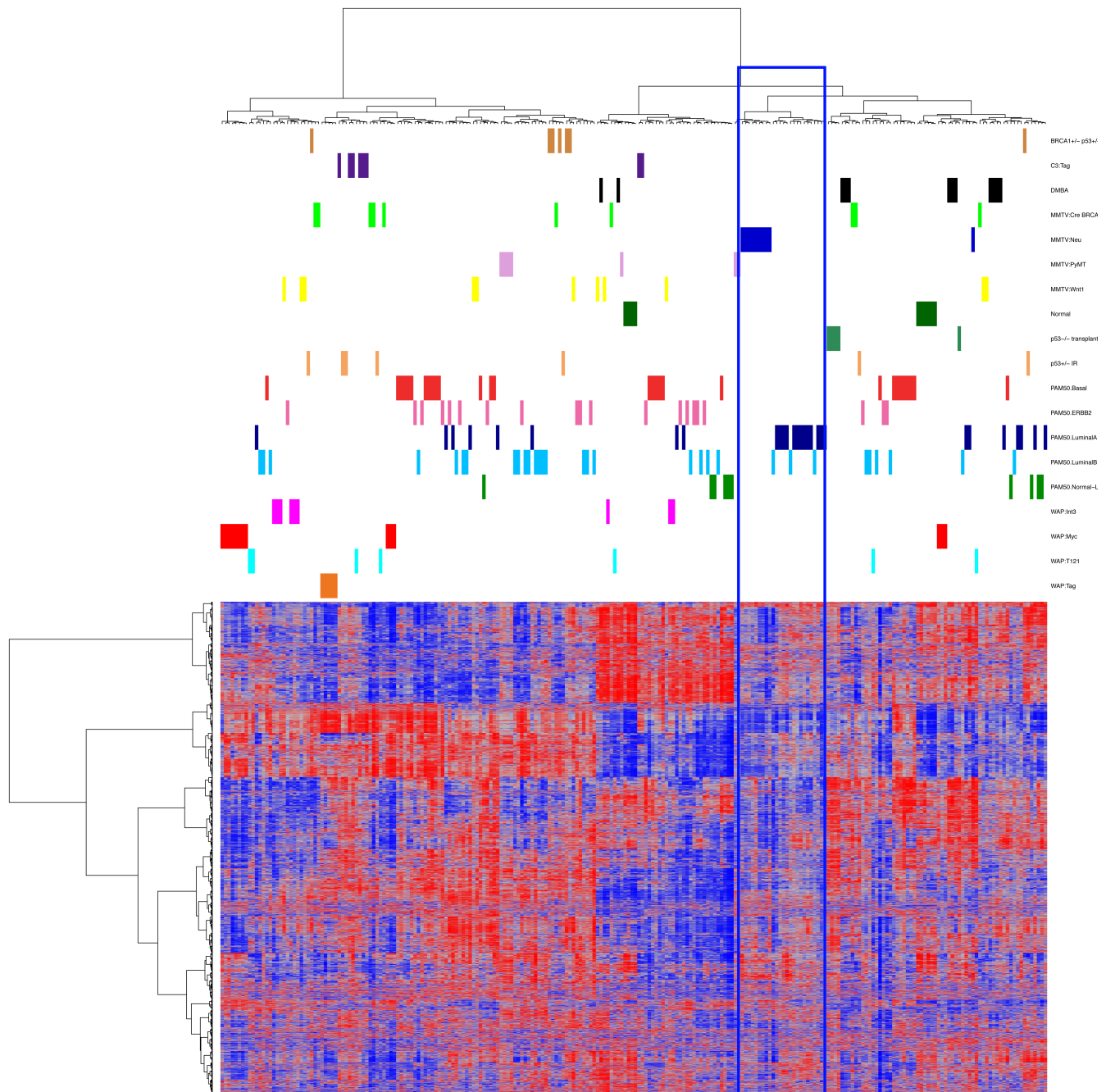
**Figure 2. Network view of correlations between signature orderings.** Nodes represent each signature tested, and are joined by edges representing the highest positive 1% and negative 1% of median correlations between signature ordering pairs across datasets. Nodes are colored according to the proportion of datasets where they have significant associations with ESR status (A), subtype (B), recurrence (C), and the overlap (D).



**Figure 3. Venn diagram representing significant clinical associations.** Signatures must be significantly associated (adjusted p-value<0.05) with ESR status, Her2 status, intrinsic subtype, and/or disease recurrence, in at least 50% of datasets tested. 21 signatures were found to be uniquely associated with recurrence.

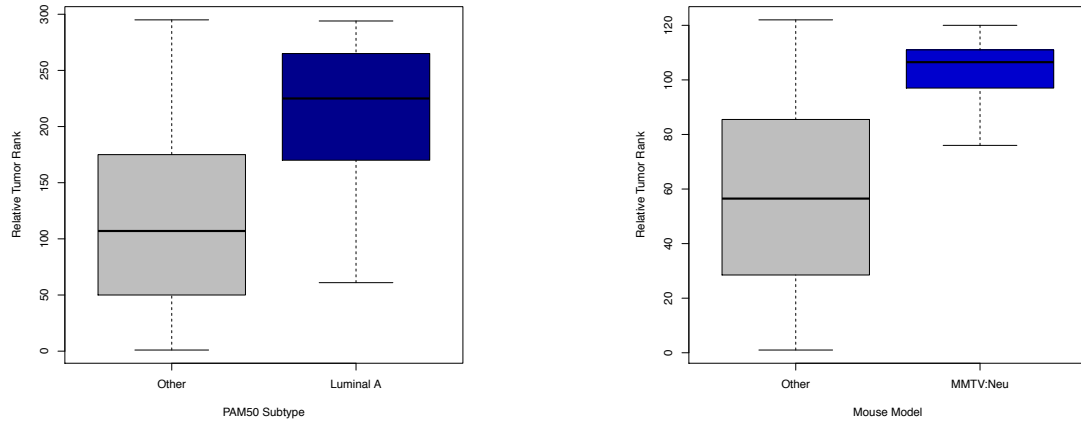


**Figure 4. Natural killer cell-mediated cytotoxicity activation signature in stromal and epithelial breast tissue.** (A) Heatmap showing laser capture microdissected stromal tissue ordered from left (representing less activation of the signature) to right (representing greater activation of the signature). Samples are labeled according to their intrinsic stromal subtype: ER high (light blue), fibroblast-enriched (green), hypoxic (red), immune-enriched (purple), matrix remodeling (yellow), and mixed (dark blue). (B) Heatmap showing laser capture microdissected epithelial tissue from the same tumors as in A, and labeled according to their intrinsic stromal subtype. Boxplots of the patient rank distributions for immune-enriched (purple) and all other samples (gray) in stromal tissue (C) and epithelial tissue (D). Immune-enriched stromal tissue shows significantly greater activation of the signature ( $p\text{-value}=8.99\times 10^{-4}$ ), while the epithelial tissue does not ( $p\text{-value}=0.560$ ). Expression data was obtained from [15]; the signature was obtained from [16].

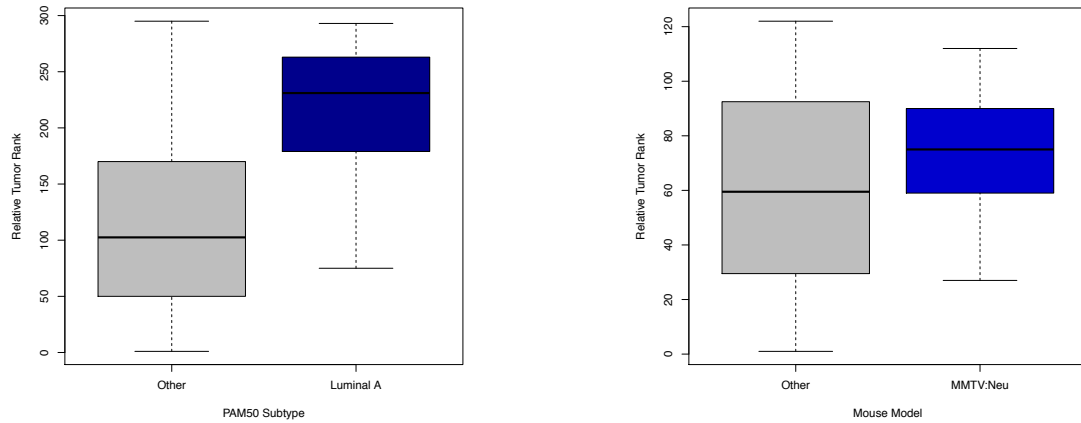


**Figure 5. Cross-species hierarchical clustering over ~6400 gene sets.** The relative tumor ranks were determined separately for samples in each dataset [17-18], and these ranks are used as features in the rows. The MMTV-Neu mouse model clustered closely with human luminal A tumors (highlighted with blue rectangle). Heatmap is colored from blue to red, representing least to greatest activation of each individual signature respectively.

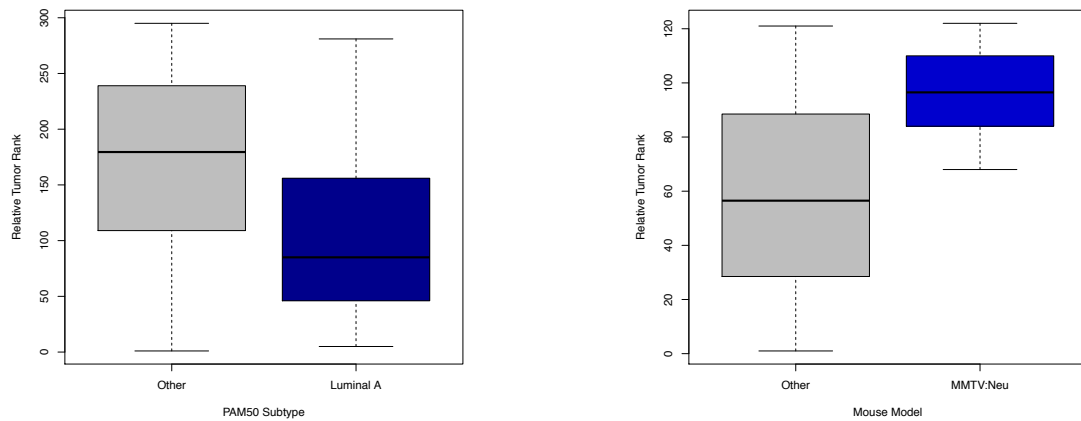
**A**



**B**



**C**



**Figure 6. Comparison of relative activation of signatures between human luminal A and murine MMTV-Neu tumors.** (A) Both human luminal A and mouse MMTV-Neu display high activation of genes downstream of E2F3. (B) Luminal A tumors display high activation of genes representing response to endocrine signaling, while the mouse tumors do not. (C) MMTV-Neu tumors demonstrate a high transcriptional response associated with interferon activation, while the human tumors do not. Datasets are from [13,18], while signatures were obtained from [19-21].